

HEADQUARTERS
UNITED STATES EUROPEAN COMMAND
UNIT 30400, BOX 1000
APO AE 09128

DIRECTIVE
NUMBER 56-12

18 December 2000

PLANS AND POLICY

COMMAND AND CONTROL OF USEUCOM DUAL-BASED FORCES

1 **Summary.**

a. **Purpose.** This directive implements the Chairman of the Joint Chiefs of Staff Instruction, *Command Arrangements for Dual-Based Forces* (CJCSI 3215.01B) in the United States European Command (USEUCOM). This directive establishes the policies, procedures and responsibilities within USEUCOM for the command, control and monitoring of CONUS-based forces designated as “Dual-Based.”

b. **Background.**

(1) In 1967, the United States concluded agreements with NATO allies that stipulated that selected Army and Air Force units would be redeployed from Europe and “dual-based” in CONUS. These units would maintain a high state of readiness to ensure their rapid deployment to Europe within specified time frames. Additionally, the Joint Chiefs of Staff (JCS) determined that Dual-Based forces would remain apportioned to USEUCOM and listed as USEUCOM units in the *Forces for Unified Commands (Forces For)* document and footnoted in the *Joint Strategic Capabilities Plan (JSCP)*. USEUCOM has maintained Dual-Based forces since 1967.

(2) In September 1993, the Joint Staff developed CJCSI 3215.01 to establish command relationships for Dual-Based forces among USEUCOM, United States Joint Forces Command (USJFCOM) and United States Special Operations Command (USSOCOM). All Army and Air Force Units previously designated as Dual-Based and under COCOM of USCINCEUR were reassigned to USJFCOM or USSOCOM in the *Forces for Unified Commands* document.

(3) Identifying specific Dual-Based units enhances USCINCEUR’s visibility of unit readiness and his ability to influence unit training. Prior to the publication of the *U.S. Response to NATO’s Defense Planning Questionnaire (DPQ) 1994* and the *Forces For-FY 95* memorandum, specific Dual-Based units were not identified. However, during development of the *DPQ 94* and *Forces For--FY 95* memorandum, the Joint Staff identified specific Dual-Based units for the first time.

This Directive supersedes ED 56-12, dated 12 Sep 95.

2. **Applicability.** This directive applies to the USEUCOM staff and USEUCOM Components.
3. **Suggested Improvements.** HQ USEUCOM, Plans Division (ECJ5-P), is the Office of Primary Responsibility (OPR) for this directive. Send all recommendations for change to:

HQ USEUCOM
ATTN: ECJ5-P
UNIT 30400 BOX 1000
APO AE 09128
DSN 430-8262/8273

4. **References**

- a. Chairman of the Joint Chiefs of Staff Instruction (CJCSI) 3215.01B, *Command Arrangements for Dual-Based Forces* (U), 15 June 2000.
- b. Command Arrangement Agreement Between CINC, U.S. Joint Forces Command and CINC, U.S. European Command (S)[Draft], undated.
- c. Command Arrangement Agreement Between CINC, U.S. Special Operations Command and CINC, U.S. European Command (S), Dec 00.

5. **Explanation of Terms.**

Dual-Based Forces. Forces previously based in the USEUCOM AOR and withdrawn to CONUS with assurance to allies they would remain available in the event of a crisis in Europe. Such forces are assigned to USJFCOM or USCINCSOC, as appropriate, and apportioned to USCINCEUR and other CINCs for deliberate planning in accordance with the Joint Strategic Capabilities Plan (JSCP) force tables. They are not available for employment to other CINCs without approval of the National Command Authorities (NCA).

6. **Responsibilities.**

- a. **The Joint Staff.** (for information only) The Joint Staff will:
 - (1) Act as the central point of contact for issues regarding Dual-Based forces.
 - (2) As required, act as a liaison between the Unified Command headquarters staffs, Service headquarters staffs, and the Department of Defense staff.
 - (3) In coordination with the Service headquarters and USCINCEUR, ensure Dual-Based forces are properly identified and annotated in the *Forces For* document, *JSCP*, and *DPQ*.
- b. **HQ USJFCOM and HQ USSOCOM.**
(provided for information only) HQ USJFCOM and HQ USSOCOM will, in coordination with USCINCEUR:

(1) Develop joint training requirements for Dual-Based units based primarily on plans for employment in Allied Command Europe (ACE).

(2) Plan exercises and develop schedules for rotation of units to and from Europe.

(3) Provide inputs to unit operational readiness inspections conducted by the Services or Service component headquarters.

(4) Maintain supporting plans and other applicable employment documents, as necessary (e.g., USEUCOM strike folders for Air Force units).

(5) Coordinate deployments of Dual-Based units when requested by USCINCEUR and approved by the Secretary of Defense.

c. **USEUCOM Staff Directorate Responsibilities.** HQ USEUCOM/ECJ5 is the OPR for consolidating policies and procedures regarding Dual-Based forces. All other USEUCOM staff directorates will, as required, provide normal staff coordination on issues pertaining to Dual-Based forces.

d. **USEUCOM Directorate Unique Responsibilities.** Directorate specific responsibilities include:

(1) ECJ3.

(a) Act as the OPR for developing joint training requirements for Dual-Based forces.

(b) Coordinate through ECJ5 the development and maintenance of a Command Arrangement Agreement (CAA) with USJFCOM that includes procedures for the command, control, training, and evaluation of forces.

(c) Develop and staff USCINCEUR's Annual Training Guidance and Joint Mission Essential Task List (JMETL).

(d) Publish annually the USEUCOM Joint Training Plan (JTP).

(e) Develop and plan joint training exercises ensuring Dual-Based forces participate to the maximum extent possible.

(f) In coordination with ECIG and ECSO, develop inspection items and desired evaluation criteria for JMETL tasks and forward to USJFCOM and USSOCOM.

(g) Monitor the overall readiness of Dual-Based forces using SORTS or other available readiness indicators.

(2) ECJ5.

- (a) Act as OPR for all policies and procedures affecting Dual-Based forces.
- (b) Coordinate with the Joint Staff and Service headquarters to ensure they designate specific units as Dual-Based.
- (c) Ensure Dual-Based forces are properly annotated in the *Forces For* document, the *JSCP*, the *DPQ*, and any other applicable documents.
- (d) Coordinate deliberate planning criteria and USEUCOM's deliberate plans calling for Dual-Based forces with the appropriate supporting CINCs.

(3) ECSO.

- (a) Develop inputs for USCINCEUR's Annual Training Guidance and JMETL which pertain to Dual-Based special operations forces (SOF).
- (b) In coordination with ECJ3 and ECIG, develop inspection items and desired evaluation criteria, and conditions and standards for JMETL tasks for Dual-Based SOF.
- (c) Monitor the overall readiness of Dual-Based SOF using SORTS or other available readiness indicators.
- (d) Coordinate through ECJ5 the development and maintenance of a Command Arrangement Agreement (CAA) with USSOCOM that includes procedures for the command, control, training, and evaluation of Dual-Based forces.

(4) ECIG.

- (a) In accordance with references 4b. and 4c., monitor and periodically evaluate areas essential to mission performance of Dual-Based forces.
- (b) Coordinate with ECJ3 and ECSO in developing inspection areas and evaluation criteria for Dual-Based forces.

e. HQ USAREUR.

- (1) Coordinate, as required, on all issues relating to the command, control, and training of Dual-Based U.S. Army forces.
- (2) Coordinate with Headquarters Department of the Army to identify Dual-Based units and forward input to USEUCOM (ECJ5).

f. **HQ USAFE.**

(1) Coordinate, as required, on all issues relating to the command, control, and training of Dual-Based U.S. Air Force units.

(2) Coordinate with Headquarters U.S. Air Force to identify Dual-Based units and forward inputs to USEUCOM (ECJ5).

g. **Dual-Based Forces.** Dual-Based forces will:

(1) Maintain unit integrity.

(2) Maintain a high level of operational readiness.

(3) Upon direction of the NCA:

(a) Undergo Transfer of Authority (TOA) to NATO as prescribed by USCINCEUR.

(b) Respond to SACEUR's declared precautionary messages.

7. **Policies and Procedures.**

a. **Identifying Dual-Based Forces.** The *Forces For*, *DPQ*, and *JSCP* are the base-line documents for identifying Dual-Based forces. Identification and modification of USEUCOM Dual-Based forces will occur during the normal staffing processes associated with subsequent editions of these documents. In accordance with reference 4a, ECJ5 will review Dual-Based forces annually, as part of the staffing of the *Forces For* document, and whenever major force structure changes occur.

b. **Declaring Dual-Based Forces in the *Forces For*, *JSCP*, and the *DPQ*.** For each revision of the *Forces For*, *JSCP*, and *DPQ*, USEUCOM (ECJ5) will, in conjunction with Component Command staffs, identify the requirements for Dual-Based forces and inform the Joint Staff. The Joint Staff will ensure Dual-Based forces are properly identified in the *Forces For*, *JSCP*, and *DPQ*. Each of these documents must identify sufficient forces to bring in-place Army and Air Force units to full operational strength and identify those units by unit designation. USAREUR and USAFE are responsible to USEUCOM for identifying Army and Air Force unit requirements respectively, during staffing and development of revisions to the *Forces For*, *JSCP*, and *DPQ*.

c. **Developing Command Arrangement Agreements (CAAs) and Memoranda of Understanding (MOUs).** In accordance with reference 4a., USEUCOM (ECJ3 and ECSO) will develop CAAs and MOUs between USEUCOM and other designated CINCs/organizations. CAAs and MOUs will establish procedures for the command, control, training and monitoring of Dual-Based forces. As a minimum, the originating office will review annually, and update as required, CAAs and MOUs pertaining to Dual-Based forces.

d. Developing Joint Training Requirements.

(1) In accordance with Title 10, U.S. Code, Dual-Based forces must be trained to the standards set by their respective Services. In addition, USJFCOM and USSOCOM must train Dual-Based forces to meet the requirements for operations in the USEUCOM area of responsibility (AOR). In training for operations in the USEUCOM AOR, these unique forces must follow the guidance and standards established by USCINCEUR's annual Training Guidance and JMETL. The Training Guidance and the USEUCOM JMETL are both published annually by ECJ3 as part of the USEUCOM JTP, as directed by the *Joint Training Manual* (MCM 71-92, 21 May 92).

(2) USJFCOM and USSOCOM will review and comment on the USEUCOM Training Guidance and JMETL during the annual spring review and revision cycle. USCINCEUR will approve these documents before including them in the USEUCOM JTP. USEUCOM (ECJ3) publishes the JTP each summer for the upcoming fiscal year

(3) Based on USCINCEUR Joint Training Guidance and JMETL, ECSO promulgates SOCEUR Joint Training Guidance and JMETL. Through attendance at USSOCOM Annual Training Conferences, SOCEUR develops, reviews, and coordinates joint training task lists and requirements with USSOCOM and USJFCOM.

e. Planning Exercises and Rotation Schedules.

(1) Unified Commands will coordinate and deconflict planned joint training exercises at the annual Worldwide Exercise Deconfliction Conference. This conference, hosted by JCS-J7, enables the Unified Commands, their components, and USTRANSCOM to develop and adjust immediate and long-range exercise schedules.

(2) USEUCOM, USJFCOM and USSOCOM will send representatives to the annual Worldwide Exercise Deconfliction Conference. These representatives will ensure that Dual-Based Forces are included, to the maximum extent possible, in USEUCOM joint exercises.

(3) Based on USEUCOM and USSOCOM JTPs and the USJFCOM Joint Training Exercises and Rehearsal program, SOCEUR determines those joint exercises that fulfill USCINCEUR Joint Training Guidance and JMETL requirements. Through attendance at USSOCOM Annual Training Conferences and Exercise Training Conferences SOCEUR develops, reviews and coordinates joint training exercises and rotations with USJFCOM and USSOCOM in which SOCEUR will participate.

f. Providing Operational Readiness Inspection Inputs to USJFCOM and USSOCOM. USEUCOM shall provide required inspection items/events to USJFCOM and/or USSOCOM NLT 1 Oct of each year. ECJ3, in coordination with ECIG, will request and consolidate inspection inputs from USAFE, USAREUR and the USEUCOM staff NLT 1 Sep of each year. ECJ3 will submit these inputs to USJFCOM/J3 and USSOCOM/J3 NLT 1 Oct of each year. Inspection items/events should be designed to evaluate overall readiness to conduct operations in the USEUCOM area of responsibility.

g. **Monitoring and Reporting Readiness.** USEUCOM shall monitor the readiness of Dual-Based units through the JCS Status of Resources and Training System (SORTS). If required, ECJ3 will request additional readiness information directly from USJFCOM/J32 and USSOCOM/J3.

FOR THE COMMANDER IN CHIEF:

OFFICIAL:

DANIEL J. PETROSKY
Lieutenant General, USA
Chief of Staff

DAVID R. ELLIS
LTC, USA
Adjutant General

DISTRIBUTION:

P+

HQ USAREUR - 5

HQ USAFE - 5

HQ NAVEUR - 3

HQ MARFOREUR - 3